

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

For new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3781-001-27

First Inventor or Application Identifier

Frank Q. Li et al.

Title

POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED  
EPITOPES VIA PEPTIDE VACCINES

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **65**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**
4. ☒ Oath or Declaration Total Pages **3**
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
  - ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 4B, is considered to be part  
of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

**ACCOMPANYING DOCUMENTS**

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☐ Other:

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

Amend the specification by inserting before the first line the sentence:

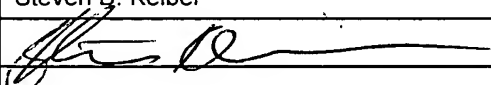
This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/310,498

Filed August 8, 2001

**18. CORRESPONDENCE ADDRESS**

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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
Name		Registration No.	
		Telephone	202-861-3900

# FEE TRANSMITTAL

Docket No.	3781-001-27
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	Frank Q. Li et al.
Group Art Unit	
TOTAL AMOUNT OF PAYMENT	\$452.00
Examiner	

1. ☒ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam. fee	
115	110	215	55	1-mo. ext. of time	
116	400	216	200	2-mo. ext. of time	
117	920	217	460	3-mo. ext. of time	
118	1440	218	720	4-mo. ext. of time	
128	1960	228	980	5-mo. ext. of time	
SUBTOTAL (1)				\$370.00	

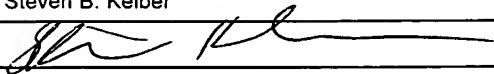
### EXTRA CLAIM FEES

claims	14	-	20*	=	0	x	\$9	=	0	121	280	221	140	Request for Oral Hearing	
ind. claims	4	-	3*	=	1	x	\$42	=	42	142	1280	242	640	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims \$140 =										143	460	243	230	Design Issue Fee	
Large Entity		Small Entity		Fee Description						144	620	244	310	Plant Issue Fee	
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	122	130	Petitions to the Commissioner	
103	18	203	9	Claims in excess of 20						126	180	126	180	IDS Submission	
102	84	202	42	Independent claims in excess of 3						581	40	581	40	Assignment	40.00
104	280	204	140	Multiple dependent claim, if not paid						179	740	279	370	For Filing RCE	
109	84	209	42	*Reissue independent claims over original patent						169	900	169	900	Expedited Design	
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below):					

SUBTOTAL (2) \$42.00

\* or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) \$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	February 5, 2002
Name		Telephone	202-861-3900
		Registration No.	